

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



SUBSTITUTE SPECIFICATION

#66(NE)
7.3.3.1

CARBON ELECTRODE COATED WITH POROUS METAL FILM, ITS
FABRICATION METHOD, AND LITHIUM-ION SECONDARY BATTERY USING
THE SAME

RECEIVED

JUL 01 2003

TC 1700

5 BACKGROUND OF THE INVENTION

OK to Enter

Do Not Enter

1. Field of the Invention

The present invention relates to carbon electrodes coated with a porous
metal film and to its fabrication method and a lithium ion secondary battery using
10 the same.

2. Description of the Background Art

A conventional lithium-ion secondary battery uses a compound which
includes lithium, such as LiCoO_2 or LiMn_2O_4 , as an anode. Thus, such a battery is
15 fabricated without having lithium in a carbon electrode used as a cathode.

In case of the use of a carbon electrode in a lithium ion secondary battery,
in the initial charging of the battery, a passive film is formed on the surface of the
carbon electrode. The passive film improves a stabilization of the carbon structure
and the reversibility of the carbon electrode, making it possible to use the carbon
20 electrode as a cathode of lithium-ion secondary battery.

However, such formation of a passive film is an irreversible reaction,
bringing about a contrary effect that it causes the consumption of lithium ions and
thereby a reduction in the capacity of the battery, as well as degradation of the life
cycle of the battery.

25 In order to compensate for this loss of lithium ions which occurs during the

initial charging of the battery, it is known to provide the battery with an excess amount of lithium ions, by a so-called "anode loading" in which the carbon electrode is pre-lithiated by intercalating lithium therein.

Conventionally, there are two known methods for prelithiating the carbon electrode; one is a method for prelithiating a carbon active material by a physicochemical process and fabricating an electrode (K.Zaguib, R. Yazami and M. Broussely, 8th International Meeting on Lithium Batteries, 192(1996)), and the other is a method for prelithiating a carbon electrode electrochemically (X. Y. Song and K. Kinoshita, J. Electrochem. Soc., 143, L120(1996), I. I. Olsen and R. Koksang, US Pat. 5,595,837(1997)).

Since the physicochemical prelithiation process needs to be performed at a high temperature, there is a risk of a fire or an explosion.

Comparatively, the electrochemical prelithiation process can be performed at room temperature, but there is a difficulty in its processing. Especially, this method is disadvantageous in that, since the lithium reaction rate is adjusted by adjusting a current by using a power supply, a power source must be installed and controlled. In addition, since there is no stabilizing process, lithium is not evenly dispersed in the carbon electrode, resulting in a problem that the battery characteristics, especially, a life cycle characteristic, are deteriorated.

Meanwhile, as for the carbon electrode, since the carbon lattice constant is much changed during charging and discharging, the carbon active material is gradually released from an electronic conduction path with repeated charging and discharging, causing problems of reduction of electrode capacity, degradation of a high rate charging and discharging characteristic or degradation of the life cycle.

In an effort to offset the reduction in the conductivity of the carbon active

material, generally, carbon having a favorable conductivity such as acetylene black (AB) is added as a conductive material to fabricate an electrode, which is, however, yet to be much improved in the aspect of battery performance.

As an improvement, there have been proposed a method in which a metal
5 having a good conductivity such as silver is added after being reduced (Korea Technochemistry Association, General Meeting, Spring, Excerpt of a Thesis, pp.154~156, May 9~10, 1998), or a method in which, in order to improve the electrode capacity, tin oxide or the like is precipitated in carbon and more than two types of anode active materials are used together (38th Open Discussion for
10 Battery, pp.207~208, Osaka, Japan, November 11~13, 1997).

However, those methods are performed in an aqueous solution, which requires washing and drying processes. In addition, a costly reagent must be used, its processes are difficult, a high fabrication cost is incurred, and the adherence of the coated film is not good.

15

SUMMARY OF THE INVENTION

Therefore, an object of the present invention is to provide carbon
electrode coated with a porous metal film and its fabrication method and a lithium-
20 ion secondary battery using the same, that are capable of preventing capacity degradation of the carbon electrode caused due to an irreversible reaction generated at the initial state of charging, capable of improving a life cycle by compensating for the amount of lithium consumed due to a low efficiency in charging and discharging, and capable of simplifying the fabrication process of a
25 secondary battery to reduce its fabrication cost.

To achieve at least the above objects in whole or in parts, there is provided carbon electrode for a lithium-ion secondary battery, coated with a porous metal film having a thickness of a few Å ~ a few μm.

To achieve at least these advantages in whole or in parts, there is further
5 provided a method for fabricating carbon electrode coated with a porous metal film including the steps of: positioning a carbon electrode roll within a vacuum chamber; winding the carbon electrodes off the roll at a certain speed, winding the carbon electrodes on a different roll and coating a porous metal with a thickness of a few Å ~ a few μm on the carbon electrode between the two rolls from a metal
10 evaporation source; and stabilizing the carbon electrode in a vacuum state for a predetermined time period at a predetermined temperature.

To achieve at least these advantages in whole or in parts, there is further provided a lithium-ion secondary battery having carbon electrode coated with a porous metal thin film with a thickness of a few Å ~ a few μm and an anode
15 comprising LiCoO_2 , LiMn_2O_4 , LiNiO_2 , V_6O_{13} or V_2O_5 .

Additional advantages, objects, and features of the invention will be set forth in part in the description which follows and in part will become apparent to those having ordinary skill in the art upon examination of the following or may be learned from practice of the invention. The objects and advantages of the
20 invention may be realized and attained as particularly pointed out in the appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

25 The invention will be described in detail with reference to the following

drawings in which like reference numerals refer to like elements wherein:

Figures 1A and 1B are schematic views showing a method for processing the surface of carbon electrodes in accordance with a preferred embodiment of the present invention;

5 Figure 2 is a graph showing the charging and discharging characteristics of a lithium-ion secondary battery fabricated with the carbon electrode of Example 1 in accordance with the preferred embodiment of the present invention and of Comparative Example 1;

10 Figure 3 is a graph showing the electrode capacity and life testing results of the lithium-ion secondary battery fabricated with carbon electrode in accordance with the preferred embodiment of the present invention and that of Comparative Example 1; and

15 Figure 4 is a graph showing the high rate discharging characteristics of the lithium-ion secondary battery fabricated by the method of Example 1 and Comparative Example 1.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

20 Figures 1A and 1B are schematic views showing a method for processing the surface of carbon electrodes in accordance with a preferred embodiment of the present invention.

25 In order to coat a porous metal film on the surface of carbon electrodes 20, a roll 12a (supply roll) of carbon electrode material 20 for forming carbon electrode for a lithium-ion battery is positioned in a vacuum chamber 10 and the chamber 10 is put under a vacuum. Then a porous metal film 22 is deposited on

both surfaces of the carbon electrode material 20 from metal evaporation sources 14 by using a heating deposition process, an electron beam deposition process, an ion line deposition process, a sputtering deposition process or a laser ablation process.

5 At this time, the roll 12a of carbon electrode material 20 is gradually wound off to be wound onto another roll 12b (takeup roll), so that an even thickness of porous metal film can be coated on the surfaces thereof. In this respect, by controlling the winding rate and the deposition rate of the metal, a few Å ~ a few μm thickness of porous metal 22 thin film can be deposited on the
10 surfaces of the carbon electrode material 20.

That is, by this method, the coating rate and the coating amount of the porous metal film can be controlled. In addition, different types of porous metals and different evaporation rates may be selected to be deposited to obtain different types and alloys of porous metals and alloy compositions.

15 After the porous metal thin film 22 is coated, it is stabilized for a predetermined time in a vacuum state at a predetermined temperature, so that a stable porous metal film is formed on the surfaces of the carbon electrode material 20 to improve a reversibility of the carbon electrode and the high rate charging and discharging characteristics are improved by heightening the conductivity.

20 Figure 1B shows a stabilization of the roll of carbon electrode material 30 coated with the porous metal thin film.

The coated porous metal film may be of Li, Al, Sn, Bi, Si, Sb, Ni, Cu, Ti, V, Cr, Mn, Fe, Co, Zn, Mo, W, Ag, Au, Pt, Ru, Ir, In or their alloys.

Especially, in case of coating lithium and a lithium alloy, since lithium is
25 evenly dispersed and intercalated in the carbon electrodes thanks to a stabilizing

process, the characteristic of the electrodes is improved.

When a lithium-ion secondary battery is fabricated with the carbon electrode coated with a lithium-ion or a lithium alloy, the capacity degradation due to the irreversible capacity loss in the carbon electrode which occurs at the initial state of charging is prevented, resulting in an increase in the capacity. In addition, the amount of lithium which is consumed due to the low efficiency in charging and discharging is compensated for, so that the life cycle can be improved.

The present invention has advantages that a desired single metal or an alloy may be coated freely, a pure porous metal can be coated without external contamination, the deposition rate can be controlled freely to control the evenness of the film and deposition time, and all the processes may be performed in an automatic system.

When the porous metal film is coated on the surfaces of the carbon electrode, its electrical conductivity is improved, so that a current and a dislocation distribution degree can be constant, and thus, a local overcharging reaction is restrained. Accordingly, the use rate of the electrodes and the life cycle can be increased. In addition, thanks to the porous film, the lithium-ion movement speed is not degraded, and when the coated electrode is adopted to a large-scale battery, its effect is highly increased.

The stabilization time is different depending on the type of the coated porous metal. Generally, It should be suitably maintained in a vacuum atmosphere lower than 10^{-1} torr at a temperature of $20^{\circ}\text{C} \sim 100^{\circ}\text{C}$ for 1 hour to 24 hours.

The carbon electrode material to be used are fabricated with an active material such as graphite, coke, hard carbon likewise to conventional materials used in the lithium-ion battery, and the anode is fabricated from an active material

such as LiCoO_2 , LiMn_2O_4 , LiNiO_2 , V_6O_{13} or V_2O_5 , as used conventionally to fabricate a lithium-ion secondary battery.

The coated carbon electrode of the preferred embodiment of the present invention has an advantage that it can be used as a anode of a lithium-ion battery using a separating film such as a PP or a PE, as a anode of a lithium polymer battery using a polymer electrolyte, or as a anode of an entire solid type lithium-ion secondary battery using a solid electrolyte.

Next examples and comparative examples of the fabrication of carbon electrode coated with the porous metal and of lithium-ion secondary batteries made therefrom and of tests of their performance will be described, to aid in an understanding of the invention.

Example 1

In case of fabricating a carbon cathode, a composition of 6g of graphite, 0.3g of AB and 0.4g of polyvinylidene-fluoride (termed as 'PVdF', hereinafter) were mixed in a suitable amount of NMP (N-methyl-2-pyrrolidinone) and acetone. When an adequate viscosity was obtained, the resulting solution was cast on a copper thin plate, dried and rolled to obtain the electrode material.

Using the technique shown in Figure 1A, lithium metal was coated to a thickness of about 2000\AA on both surfaces of the electrode material by a heating deposition process, and stabilized under a vacuum state at room temperature for 12 hours, to thereby obtain material for carbon electrode coated with porous metal.

In case of fabricating a LiCoO_2 anode, a composition of 5.7g of LiCoO_2 , 0.6g of AB and 0.4g of PVdF was mixed with a suitable amount of NMP and

acetone. When an adequate viscosity was obtained, the resulting solution was cast on an aluminum thin plate, dried and rolled to obtain material for an electrode.

A lithium-ion secondary battery was fabricated such that a carbon cathode coated with lithium metal, a PP (polypropylene) separating film and the LiCoO_2 cathode were stacked, to which an ethylene carbonate-diethyl carbonate (termed as 'EC-DEC', hereinafter) solution in which 1M LiPF_6 had been dissolved was injected. And then, the electrode capacity and life cycle of the anode were checked at the discharge rate of C/3.

10 Comparative example 1

In the case of fabricating a carbon cathode, a composition of 6g of Gr, 0.3g of AB and 0.4g of PVdF was mixed in a suitable amount of NMP and acetone. When an adequate viscosity was obtained, the resulting solution was cast on a copper thin plate, dried and rolled to obtain the electrode material.

15 In the case of fabricating a LiCoO_2 anode, a composition of 5.7g of LiCoO_2 , 0.6g of AB and 0.4g of PVdF was mixed with a suitable amount of NMP and acetone. When an adequate viscosity was obtained, the resulting solution was cast on an aluminum thin plate, dried and rolled to obtain material for an electrode.

A lithium-ion secondary battery was constructed such that the carbon anode, a PP separating film and the LiCoO_2 anode were stacked, to which EC-DEC solution in which 1M LiPF_6 has been dissolved is injected. And then, the electrode capacity and life cycle of the anode were checked at the discharge rate of C/3.

Example 2

In the case of fabricating a carbon cathode, a composition of 6g of Gr, 0.3g of AB and 0.4g of PVdF was mixed in a suitable amount of NMP and acetone. When an adequate viscosity was obtained, the resulting solution was
5 cast on a copper thin plate, dried and rolled to obtain the electrode material.

Using the technique shown in Figure 1A, aluminum metal was coated to a thickness of about 2000Å on both surfaces of the electrode material by a heating deposition process, and stabilized under a vacuum state at room temperature for 12 hours, to thereby obtain material for a carbon electrode coated with a porous
10 metal.

In the case of a LiCoO₂ anode, a composition of 5.7g of LiCoO₂, 0.6g of AB and 0.4g of PVdF was mixed with a suitable amount of NMP and acetone. When an adequate viscosity was obtained, the resulting solution was cast on an aluminum thin plate, dried and rolled to obtain the electrode material for the
15 cathode.

A lithium-ion secondary battery was fabricated such that a carbon cathode coated with aluminum metal, a PP separating film and a LiCoO₂ anode were stacked, to which an EC-DEC solution in which 1M LiPF₆ had been dissolved was injected. Then, the electrode capacity and life cycle of the anode were checked at
20 the discharge rate of C/3.

Example 3

In the case of fabricating a carbon cathode, a composition of 6g of Gr, 0.3g of AB and 0.4g of PVdF was mixed in a suitable amount of NMP and
25 acetone. When an adequate viscosity was obtained, the resulting solution was

cast on a copper thin plate, dried and rolled to obtain an electrode.

Using the technique shown in Figure 1A, lithium metal was coated to a thickness of about 2000Å on both surfaces of the electrode by a heating deposition method, and then stabilized under a vacuum state at room temperature for 12 hours, to thereby obtain carbon electrode material coated with a porous metal film.

In the case of fabricating a LiCoO_2 anode, a composition of 5.7g of LiCoO_2 , 0.6g of AB and 0.4g of PVdF was mixed with a suitable amount of NMP and acetone. When an adequate viscosity was obtained, the resulting solution was cast on an aluminum thin plate, dried and rolled to obtain material for an electrode.

A lithium-ion secondary battery was fabricated such that a carbon cathode coated with a lithium-aluminum alloy, a PP separating film and the LiCoO_2 anode were stacked, to which EC-DEC solution in which 1M LiPF_6 had been dissolved was injected. Then, the electrode capacity and life cycle of the anode were checked at the discharge rate of C/3.

Figure 2 shows the results of the tests of the charging and discharging characteristics of the lithium-ion secondary battery of Example 1 and of Comparative Example 1 fabricated adopting the above described methods.

As shown in Figure 2, the battery fabricated according to Example 1 did not exhibit an irreversible reaction at the initial charging state and its charging and discharging efficiency was almost 100%. Its electrode capacity was excellent (a). In comparison, the battery fabricated according to Comparative Example 1, the existing method, exhibited an irreversible reaction, lowering the charging and discharging efficiency and the electrode capacity (b).

Figure 3 is a graph showing the electrode capacity of the LiCoO_2 active

material and life cycle characteristics of the lithium-ion secondary batteries fabricated by adopting the above-described methods of Example 1 according to the present invention and of Comparative Example 1.

As shown in Figure 3, the batteries fabricated according to the method of the preferred embodiment of the present invention (Examples 1, 2 and 3) exhibited excellent electrode capacity and life cycle characteristics (a). In comparison, however, the battery fabricated according to the method of Comparative Example 1 had somewhat degraded electrode capacity and life cycle characteristics (b).

Figure 4 is a graph showing the high rate discharging characteristics of the lithium-ion secondary batteries fabricated in Example 1 (a1), Example 2 (a2) and Comparative Example 1 (1b).

As shown in Figure 4, the lithium-ion secondary battery fabricated by adopting the method of the present invention exhibits excellent high rate discharging characteristics.

As so far described, the carbon electrode coated with porous metal film, its fabrication method and a lithium-ion secondary battery using the same provide significant advantages.

For example, first, since the stable film is formed on the surfaces of the carbon electrodes, the reversibility and high rate charging and discharging characteristics of the carbon electrodes can be improved.

Secondly, when a lithium-ion secondary battery is fabricated using the carbon electrode coated with lithium or a lithium alloy, the capacity degradation due to the irreversible capacity of the carbon electrode appearing at the initial state of charging can be prevented, so that the capacity can be increased.

Thirdly, since the amount of the lithium consumed due to the bad

efficiency of charging and discharging is compensated, the carbon electrode and lithium-ion secondary battery with highly improved life cycle can be provided as well as its method.

Accordingly, the carbon electrode coated with a porous metal film and its
5 fabrication method and a lithium-ion secondary battery using the same are advantageously applicable to various industrial sectors such as various compact-size electronic appliances, communication appliances, or the power source of an electric automobile.

The foregoing embodiments and advantages are merely exemplary and
10 are not to be construed as limiting the present invention. The present teaching can be readily applied to other types of apparatuses. The description of the present invention is intended to be illustrative, and not to limit the scope of the claims. Many alternatives, modifications, and variations will be apparent to those skilled in the art.